

COPY OF PAPERS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: HEILIG, Joerg; HUETSCH, Matthias
Assignee: Sun Microsystems, Inc.
Title: USER ACCESS SYSTEM USING PROXIES FOR ACCESSING A
NETWORK
Serial No.: 09/930,873 Filing Date: August 15, 2001
Examiner: Unknown Group Art Unit: 2131
Docket No.: P5211 US Confirmation No 1840

October 29, 2001

BOX MISSING PARTS
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Dear Sir:

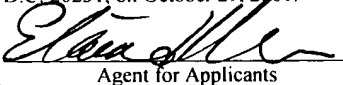
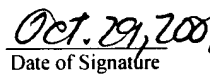
Responsive to the "Notice to File Missing Parts of Nonprovisional Application"
mailed by the United States Patent and Trademark Office on October 2, 2001, the
following document are enclosed to complete the filing of the above-identified patent
application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63;
2. Copy of Notice to File Missing Parts of Nonprovisional Application; and
3. Certified Copy of European Patent Application No. 00 117 721.1 from which
we claim priority.

The United States Patent and Trademark Office is hereby authorized to charge the
following fees to Deposit Account No. 19-4974:

1. Statutory Basic Filing Fee for non-small entity	\$710.00
2. Additional Claim Fees for this application	
(\$594 for 33 claims over 20 and \$160 for 2 independent claims over 3)	\$754.00
3. Surcharge for filing declaration on a date later than the	
filing date of application.	\$130.00
TOTAL FEES	\$1,594.00

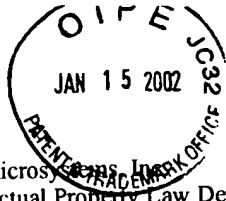
The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-4974. A duplicate copy of this authorization is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 29, 2001.	
 Agent for Applicants	 Date of Signature

Respectfully submitted,



Elaine K. Lee
 Attorney for Applicants
 Reg. No. 41,936



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Sun Microsystems, Inc.
Intellectual Property Law Department
901 San Antonio Road, MS UPAL1-521
Palo Alto, CA 94303
(650) 786-7852
(650) 786-2325



Docket No.: P5211 US

October 29, 2001

BOX MISSING PARTS
COMMISSIONER FOR PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

RE: Applicants: HEILIG, Joerg; HUETSCH, Matthias
Assignee: Sun Microsystems, Inc.
Title: USER ACCESS SYSTEM USING PROXIES FOR ACCESSING
A NETWORK
Serial No.: 09/930,873 Filing Date: August 15, 2001
Examiner: Unknown Group Art Unit: 2131
Docket No.: P5211 US Confirmation No.: 1840

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

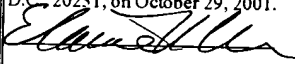
1. This Transmittal Letter (2 pages);
2. Return Receipt Postcard;
3. Response To Notice To File Missing Parts Of Nonprovisional Application (2 pages, in duplicate);
4. Combined Declaration and Power of Attorney signed by the inventors in compliance with 37 CFR §1.63 (4 pages);
5. Copy of Notice To File Missing Parts Of Nonprovisional Application; and
6. Certified Copy of European Patent Application No. 00 117 721.1, filed on August 17, 2000, for which we claim priority.

- ☒ The fee has been calculated and authorized on our Response To Notice To File Missing Parts Of Nonprovisional Application.

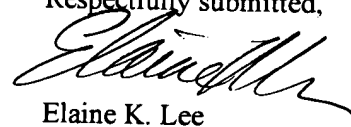
Total fee for this submission:

\$ 1,594.00

- ☒ The Commissioner is hereby authorized to charge any additional fees required and credit any overpayment to our Deposit account No.: 19-4974.

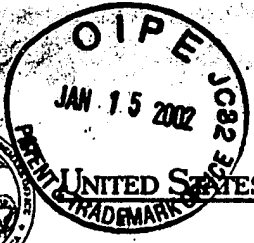
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on October 29, 2001.	
	<u>Oct. 29, 2001</u>
Attorney For Applicant(s)	Date of Signature

Respectfully submitted,



Elaine K. Lee
Attorney for Applicants
Reg. No.: 41,936

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,873	08/15/2001	Joerg Heilig	P5211 US

24726
SUN MICROSYSTEMS INC
901 SAN ANTONIO RD
MS PALO1-521
PALO ALTO, CA 94303

CONFIRMATION NO. 1840

FORMALITIES LETTER



OC000000006831898

Date Mailed: 10/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/22/2002 SDIRETA1 00000041 194974 09930873 FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH
02 FC:103 594.00 CH
03 FC:102 168.00 CH
04 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$754.
 - \$594 for 33 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1594.

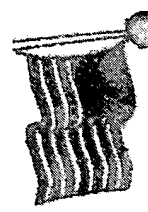
*A copy of this notice **MUST** be returned with the reply.*

D. Woolfson

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

* * *
U.S. POSTAGE
1130 PB3520312
609000 570 OCT 22 2001
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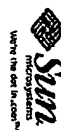


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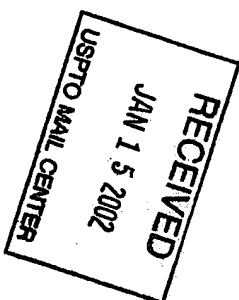
KUDIRKA & JOHSE, LLP
ONE STATE STREET
BOSTON, MASSACHUSETTS 02109

Box missing 6 parts
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Sun Microsystems, Inc.
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Palo Alto, CA 94303



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Washington, D.C. 20231

first class

